

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 10991-002001	Application No. 09/379,460
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Edward P. Ingenito	
		Filing Date August 23, 1999	Group Art Unit 3763

<b>U.S. Patent Documents</b>							
Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA						
	AB						

<b>Foreign Patent Documents or Published Foreign Patent Applications</b>							
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation
							Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
	AC	DE 4423178	01/04/96	Germany			<input checked="" type="checkbox"/>

<b>Other Documents (include Author, Title, Date, and Place of Publication)</b>		
Examiner Initial	Desig. ID	Document
	AD	Hauck <i>et al.</i> , <i>World J. Surg.</i> <u>15</u> (1):146-149, 1991.
	AE	Inoue <i>et al.</i> , <i>Lung</i> , <u>172</u> (5):293-298, 1994.
	AF	Rock <i>et al.</i> , <i>Am. J. Respir. Crit. Care Med.</i> <u>151</u> (4):1047-1052, 1995.
	AG	Spotnitz <i>et al.</i> , <i>Am. Surg.</i> <u>55</u> (3):166-168, 1989.
	AH	Stammberger <i>et al.</i> , <i>Eur. J. Cardiothorac. Surg.</i> (NETHERLANDS), <u>11</u> (6):1005-1010, 1997.
	AI	Straub <i>et al.</i> , <i>Z. Erkr Atmungsorgane</i> (GERMANY, EAST) <u>167</u> (1-2):185-189, 1986.
	AJ	Waclawiczek <i>et al.</i> , <i>Surg. Endosc.</i> (GERMANY, WEST) <u>1</u> (2):99-102, 1987.
	AK	Zimmer <i>et al.</i> , <i>J. Hepatol.</i> <u>28</u> (2):292-297, 1998.

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

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(37 CFR §1.98(b))		Filing Date August 23, 1999	Group Art Unit 3763

**U.S. Patent Documents**

Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	US 5,814,022	09/29/98	Anatanavich <i>et al.</i>			
	AB	US 5,773,418	06/30/98	Edwardson <i>et al.</i>			
	AC	US 5,739,288	04/14/98	Edwardson <i>et al.</i>			
	AD	US 5,733,545	03/31/98	Hood, III			
	AE	US 5,437,292	08/01/95	Kipshidze <i>et al.</i>			
	AF	US 5,782,748	07/21/98	Palmer <i>et al.</i>			
	AG	US 5,728,751	03/17/98	Patnaik			
	AH	US 5,423,312	06/13/95	Siegmund <i>et al.</i>			
	AI						
	AJ						
	AK						

**Foreign Patent Documents or Published Foreign Patent Applications**

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AL	WO 97/46632	12/11/97	WIPO				
	AM	WO 97/01989	01/23/97	WIPO				
	AN	WO 97/42986	11/20/97	WIPIO				
	AO	WO 97/33633	09/18/97	WIPO				✓
	AP							

**Other Documents (include Author, Title, Date, and Place of Publication)**

Examiner Initial	Desig. ID	Document
	AQ	Baumann <i>et al.</i> , <i>Ann. Thorac. Surg.</i> <u>64</u> (1):230-233, 1997.
	AR	Foucher <i>et al.</i> , <i>Eur. Respir J.</i> , <u>9</u> (10):2181-2182, 1996.
	AS	Hamamoto <i>et al.</i> , <i>Kekkaku (JAPAN)</i> , <u>64</u> (11):713-719, 1989
	AT	Hirao <i>et al.</i> , <i>Nippon Kyobu Geka Gakkai Zasshi (JAPAN)</i> <u>42</u> (11):2144-2149, 1994.
	AU	Ikushima <i>et al.</i> , <i>J. Jpn Assoc. Thorac. Surg.</i> <u>36</u> (11):2521-2524, 1988.
	AV	Kawamata <i>et al.</i> , <i>Kyobu Geka (JAPAN)</i> , <u>51</u> (2):165-167, 1998.

Examiner Signature

Date Considered

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		Filing Date August 23, 1999	Group Art Unit 3763

<b>Other Documents (include Author, Title, Date, and Place of Publication)</b>		
Examiner Initial	Desig. ID	Document
	AW	Klepetko <i>et al.</i> , <i>Chirurg (GERMANY)</i> , <u>67</u> (12):1215-1221, 1996.
	AX	Koyama <i>et al.</i> , <i>ACTA Haematol. Jpn.</i> <u>51</u> (4):808-815, 1988.
	AY	Matthew <i>et al.</i> , <i>Ann. Thorac. Surg.</i> <u>50</u> (1):40-43, 1990.
	AZ	Nakamura <i>et al.</i> , <i>Kyobu Geka (JAPAN)</i> , <u>50</u> (7):589-593, 1997.
	AAA	Nonaka <i>et al.</i> , <i>Kyobu Geka (JAPAN)</i> <u>45</u> (11):975-978, 1992.
	ABB	Regel <i>et al.</i> , <i>surg. Endosc. (GERMANY, WEST)</i> <u>4</u> (1):31-35, 1990.
	ACC	Samuels <i>et al.</i> , <i>Chest</i> <u>109</u> (6):1653-1655, 1996.
	ADD	Scheyer <i>et al.</i> , <i>Surg. Endosc. (GERMANY)</i> , <u>10</u> (5):501-503, 1996.
	AEE	Sprung <i>et al.</i> , <i>Anaesthesia (ENGLAND)</i> <u>52</u> (12):1207-1211, 1997.
	AFF	Sznajder <i>et al.</i> , <i>J. Appl. Physiol.</i> <u>67</u> (2):817-823, 1989.
	AGG	Takenouchi <i>et al.</i> , <i>Kyobu Geka (JAPAN)</i> <u>50</u> (3):243-246, 1997.
	AHH	Vaca <i>et al.</i> , <i>Am. J. Crit. Care</i> , <u>5</u> (6):412-419, 1996.
	AII	
	AJJ	

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Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 10991-002001	Application No. 09/379,460
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Edward P. Ingenito	
		Filing Date August 23, 1999	Group Art Unit 3763

<b>U.S. Patent Documents</b>							
Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	4,886,496	12/12/89	Conoscenti <i>et al.</i>			
	AB	5,728,132	03/17/98	Van Tassel <i>et al.</i>			

<b>Foreign Patent Documents or Published Foreign Patent Applications</b>								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AC	EP 0 303 756	02/22/89	Europe				
	AD	WO 95/13748	05/26/95	WIPO				
	AE	WO 99/25782	05/27/99	WIPO				
	AF	WO 01/02042	01/11/01	WIPO				

<b>Other Documents (include Author, Title, Date, and Place of Publication)</b>		
Examiner Initial	Desig. ID	Document
	AG	International Search Report
	AH	
	AI	
	AJ	

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

SUBSTITUTE FORM PTO-1449 (MODIFIED)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO.	SERIAL NO.
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)  (37 CFR 1.98(b))		APPLICANT Edward P. Ingenito			
		FILING DATE		GROUP	

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER						ISSUE DATE	PATENTEE		CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5	4	3	7	2	9	2	08/01/95		Kipshidze et al.		
	AB	5	5	8	3	1	1	4	12/10/96		Barrows et al.		
	AC	5	7	3	9	2	8	8	04/14/98		Edwardson et al.		
	AD	5	7	7	3	4	1	8	06/30/98		Edwardson et al.		
	AE	5	7	3	3	5	4	5	03/31/98		Hood, III		
	AF	5	8	1	4	0	2	2	09/29/98		Antanavich et al.		
	AG												

## FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION
					YES	NO	
	AH	WO97/33633	09/18/97	PCT			

## OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

AI		Cooper et al., J. Thorac. Cardiovasc. Surg. 109:106-116, 1995
AJ		Cooper et al., J. Thorac. Cardiovasc. Surg. 112:1319-1329, 1996
AK		Gibson et al., Am. Rev. Resp. Dis. 120:799-811, 1979
AL		Hoppin, Am. J. Resp. Crit. Care Med. 155:520-525, 1997
AM		Katloff et al., Chest 110:1399-1406, 1996
AN		Swanson et al., J. Am. Coll. Surg. 185:25-32, 1997

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<b>Notice of References Cited</b>			Application No. <b>09/379,460</b>	Applicant(s) <b>Ingenito</b>		
			Examiner <b>Catherine Serke</b>	Group Art Unit <b>3763</b>	<b>Page 1 of 1</b>	
<b>U.S. PATENT DOCUMENTS</b>						
	DOCUMENT NO.	DATE	NAME		CLASS	SUBCLASS
A	5,739,288	4/1998	Edwardson et al		---	---
B	5,836,905	11/1998	Lemelson et al.		---	---
C	6,117,425	9/2000	MacPhee et al		---	---
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<b>FOREIGN PATENT DOCUMENTS</b>						
	DOCUMENT NO.	DATE	COUNTRY	NAME	CLASS	SUBCLASS
N						
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<b>NON-PATENT DOCUMENTS</b>						
	DOCUMENT (Including Author, Title, Source, and Pertinent Pages)					DATE
U	e Emphysema, The Journal of Thoracic and Cardiovascular Surgery.					11/1996
V						
W						
X						

Substitute Form PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 10991-002002	Application No. 09/658,338
<b>Information Disclosure Statement</b> <b>by Applicant</b> <small>(Use several sheets if necessary)</small> <small>(37 CFR §1.98(b))</small>		Applicant Edward P. Ingentio Filing Date September 8, 2000		
		Group Art Unit		

U.S. Patent Documents							
Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	5,814,022	09/29/98	Antanavich <i>et al.</i>			
	AB	5,583,114	12/10/96	Barrows <i>et al.</i>			
	AC	5,773,418	06/30/98	Edwardson <i>et al.</i>			
	AD	5,739,288	4/14/98	Edwardson <i>et al.</i>			
	AE	5,733,545	03/31/98	Hood, III			
	AF	5,437,292	08/01/95	Kipshisdze <i>et al.</i>			
	AG	5,836,905	11/17/98	Lemelson <i>et al.</i>			
	AH	6,117,425	09/12/00	MacPhee <i>et al.</i>			
	AI	5,980,866	11/09/99	Uchida <i>et al.</i>			

Foreign Patent Documents or Published Foreign Patent Applications							
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation
							Yes No
	AJ	WO97/33633	09/18/97	PCT			(U.S. Pat No. 5,980,866 copy enclosed)

Other Documents (include Author, Title, Date, and Place of Publication)							
Examiner Initial	Desig. ID	Document					
	AK	Cooper <i>et al.</i> , <i>J. Thoracic &amp; Cardiovascular Surgery</i> <u>112</u> :1319-1330, 1996.					
	AL	Cooper <i>et al.</i> , <i>J. Thorac. Cardiovasc. Surg.</i> <u>109</u> :106-116, 1995.					
	AM	Cooper <i>et al.</i> , <i>J. Thorac. Cardiocasc. Surg.</i> <u>112</u> :1319-1329, 1996.					
	AN	Gibson <i>et al.</i> , <i>Am. Rev. Resp. Dis.</i> <u>120</u> :799-811, 1979.					
	AO	Hoppin, <i>Am. J. Resp. Crit. Care Med.</i> <u>155</u> :520-525, 1997.					
	AP	Kotloff <i>et al.</i> , <i>Chest</i> <u>110</u> :1399-1406, 1996.					
	AQ	Swanson <i>et al.</i> , <i>J. Am. Coll. Surg.</i> <u>185</u> :25-32, 1997.					

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